

Intercontinental Exchange



ICE Trade Vault Europe RRM Service ACER Public Workshop

Tuesday, 8 September 2015

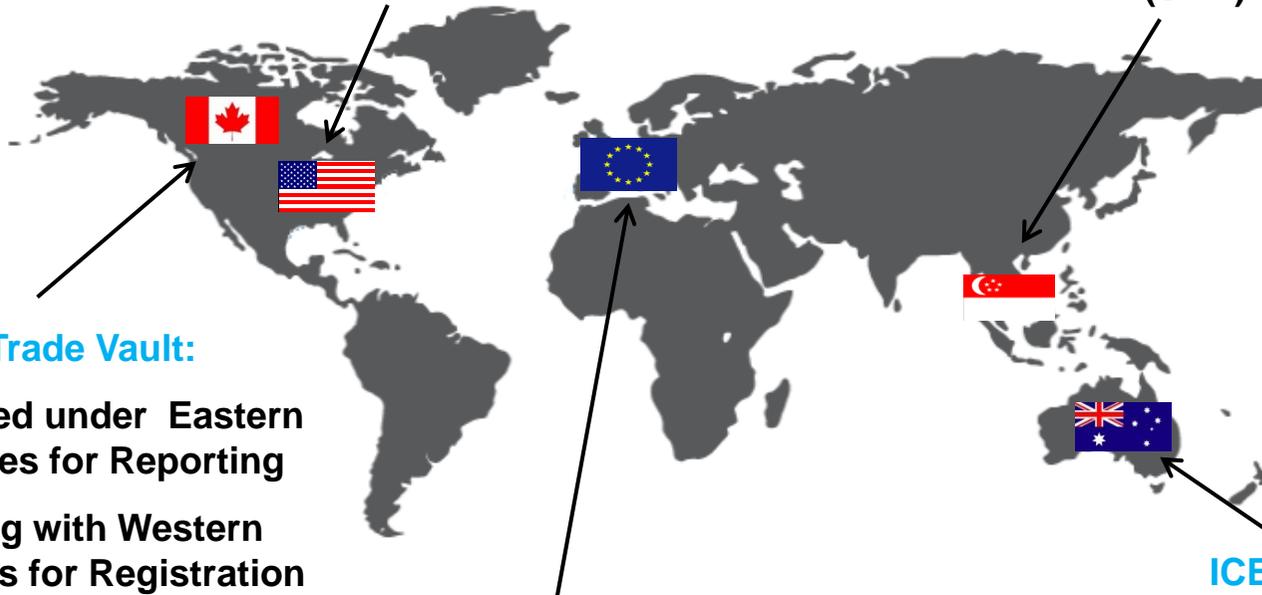
ICE Trade Vault: A Global Repository

ICE Trade Vault:

- Registered SDR for CFTC Reporting
- Liaising with SEC for SBSDR Registration

ICE Trade Vault:

- Liaising with MAS on Foreign TR Registration (SDR)



ICE Trade Vault:

- Registered under Eastern Provinces for Reporting
- Liaising with Western Provinces for Registration

ICE Trade Vault Europe:

- Registered TR for EMIR Reporting
- Registered RRM for REMIT Reporting
- Liaising with Regulators on MIFID II and Swiss Registration

ICE Trade Vault:

- Liaising with ASIC on Foreign TR registration (SDR) Registration

ICE: A Logical Trade Repository Service Provider

Proven Track Record

- Process & store millions of confidential trade records daily without incident
- Systems developed & operated by in-house staff of 500+ technologists
- Deep knowledge of financial & physical markets with strong governance

Leveraging ICE Infrastructure

- ICE exchanges' REMIT obligation to report exchange traded contracts as OMP
- ICE clearing houses' EMIR obligation to report cleared & delegated trade data
- ICE eConfirm serves as front-end for commodities & all other asset classes

Regulatory Experience

- TR, exchange & clearinghouse subsidiaries operate under multiple jurisdictions
- Home regulators in UK/EU, US & Canada
- Liaise with 25 other foreign regulatory authorities
- Extensive knowledge of EU energy markets

ICE Trade Vault's RRM Service

REMIT Reporting Service

- As a RRM, ICE Trade Vault Europe will provide exchanges, brokers and market participants with a comprehensive reporting solution to fulfil their REMIT obligations

OMP Orders and Transactions

- ICE Endex and ICE Futures Europe will assist market participants with their REMIT reporting obligations by submitting all required wholesale market order and trade data to ACER by using ICE Trade Vault Europe as their RRM
- ICE Trade Vault Europe is also accepting ACER XML from market participants for their other OMP trades and orders to provide a consolidated REMIT reporting solution

Bilateral Transactions and Lifecycle Events

- Streamlined approach to adding beneficiary data to orders and trades
- Since ICE Trade Vault Europe is part of ICE's global trade repository offering, market participants will be able to fulfil their REMIT reporting obligations by leveraging the same ICE eConfirm workflow and front-end

Benefits of ICE Trade Vault as an RRM

Data Management and Integrity

- ICE Trade Vault RRM will allow Participants to take control of their full REMIT reporting obligation, from initial order through to trade record and lifecycle event data. This means that Participants do not have to rely on their exchanges and brokers to fulfil parts of their obligation, introducing the risk of incomplete reporting.

Dedicated Customer Support

- “White glove” service - not just a technology system but a complete regulatory reporting service
- Regular and transparent dialogue with Participants, including a weekly newsletter, Participant conference calls, and in-person meetings with Participants as required for implementation assistance
- Extensive in-house knowledge of European wholesale energy markets
- Dedicated customer support via email and telephone 24/6

Cost Effective

- ICE Trade Vault’s efficiencies of scale allow it to provide extremely competitive rates across all its reporting services, and inclusive of all above services

Contact Information

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www.icetradevault.com